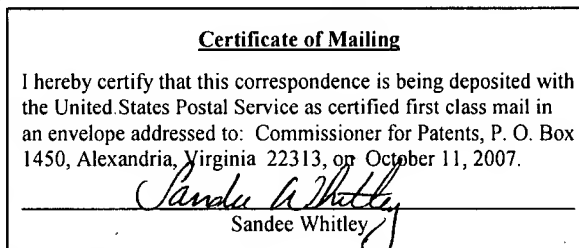




Handwritten signature/initials

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Robert Kenneth Pliha CONF. NO.: 5403
SERIAL NO.: 09/880,430 GROUP ART UNIT: 36222
FILED: June 13, 2001 EXAMINER: Jean D. Janvier
FOR: Systems and Methods for Distributing
Targeted Incentives to Financial
Institution Customers



ATTORNEY DOCKET NO.: 47423/252726

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

DATE: October 11, 2007

SECOND REQUEST FOR ISSUANCE OF A CORRECTED FILING RECEIPT

Sir:

A corrected filing receipt is respectfully requested in the above-identified application. The official filing receipt mailed August 10, 2001 incorrectly listed the title of the application as "Systems and Methods for Distributing Targeted Incentives to Financial Institution Customer." See copy attached as Exhibit A. A Request for Issuance of a Corrected Filing Receipt was mailed August 16, 2001 requesting that the title be corrected to make the word "Customer" plural to read "Systems and Methods for Distributing Targeted Incentives to Financial Institution Customers." A copy of the original filing receipt marked to reflect the desired change was included. See copy attached as Exhibit B. An updated filing receipt mailed October 26, 2001 listed the title of the application correctly as requested. See copy attached as Exhibit C. However, a corrected filing receipt mailed April 29, 2004 was

subsequently received that incorrectly listed the title as "System and Methods for Distributing Targeted Incentives to Financial Institution Customers." See copy attached as Exhibit D.

The title should state: "Systems and Methods for Distributing Targeted Incentives to Financial Institution Customers"

Attached as Exhibit "E" is a copy of the Corrected Filing Receipt mailed April 29, 2004 which has been marked to reflect the desired change.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "J. Gardner", written over a horizontal line.

Jason D. Gardner
Reg. No. 58,180

KILPATRICK STOCKTON LLP
1100 Peachtree Street, Suite 2800
Atlanta, Georgia, 30309-4530
404-815-6500
Attorney Docket No.: 47423/252726



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/880,430	06/13/2001	2162	643	47423/252726	21	52	3

CONFIRMATION NO. 5403

23370

JOHN S. PRATT, ESQ
KILPATRICK STOCKTON, LLP
1100 PEACHTREE STREET
SUITE 2800
ATLANTA, GA 30309

FILING RECEIPT



OC000000006411736

Date Mailed: 08/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert K. Pliha, McMinnville, TN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/296,997 04/22/1999
WHICH CLAIMS BENEFIT OF 60/083,174 04/27/1998

Foreign Applications

If Required, Foreign Filing License Granted 08/09/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

Systems and methods for distributing targeted incentives to financial institution customer

Preliminary Class

Entered Computer 08/14/01 GM
252 726

705

Data entry by : MA, WEI-WEN

Team : OIPE

Date: 08/10/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

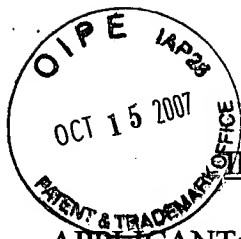
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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Robert Kenneth Pliha

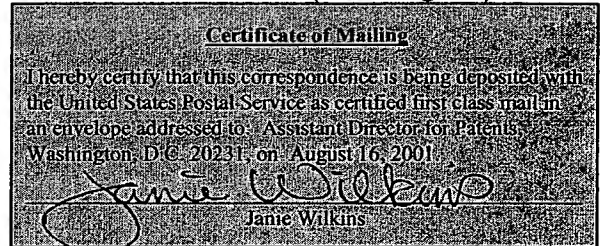
SERIAL NO.: 09/880,430

GROUP ART UNIT: 2162

FILED: June 13, 2001

EXAMINER: (not assigned)

FOR: System and Methods for Distributing
Targeted Incentives to Financial
Institution Customers



ATTORNEY DOCKET NO.: 47423/252726

Assistant Director for Patents
Washington, D.C. 20231

DATE: August 16, 2001

REQUEST FOR ISSUANCE OF A CORRECTED FILING RECEIPT

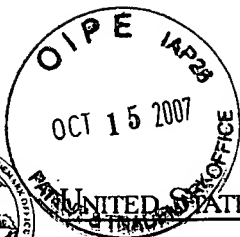
Sir:

A corrected filing receipt is respectfully requested in the above-identified application. The official filing receipt incorrectly lists the title of the application as "System and Methods for Distributing Targeted Incentives to Financial Institution Customer." The title should state -- System and Methods for Distributing Targeted Incentives to Financial Institution Customers --. Attached as Exhibit "A" is a copy of the official Filing Receipt which has been marked to reflect the desired change and attached as Exhibit "B" is a copy of the Application Data Sheet that was filed correctly listing the title.

Respectfully submitted,

Michael K. Dixon
Reg. No. 46,665

OF COUNSEL:
KILPATRICK STOCKTON LLP
1100 Peachtree Street, Suite 2800
Atlanta, Georgia, 30309-4530
404-815-6619



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/880,430	06/13/2001	2162	643	47423/252726	21	52	3

CONFIRMATION NO. 5403

23370

JOHN S. PRATT, ESQ
KILPATRICK STOCKTON, LLP
1100 PEACHTREE STREET
SUITE 2800
ATLANTA, GA 30309

FILING RECEIPT



OC000000006411736

Date Mailed: 08/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert K. Pliha, McMinnville, TN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/296,997 04/22/1999
WHICH CLAIMS BENEFIT OF 60/083,174 04/27/1998

Foreign Applications

If Required, Foreign Filing License Granted 08/09/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

Systems and methods for distributing targeted incentives to financial institution customerS

Preliminary Class

Entered Computer 08/14/01 *GM*
252726



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/880,430	06/13/2001	2162	708	47423/252726	21	52	3

CONFIRMATION NO. 5403

UPDATED FILING RECEIPT



OC000000006980304

23370
JOHN S. PRATT, ESQ
KILPATRICK STOCKTON, LLP
1100 PEACHTREE STREET
SUITE 2800
ATLANTA, GA 30309

Date Mailed: 10/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert Kenneth Pliha, McMinnville, TN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/296,997 04/22/1999 ABN
WHICH CLAIMS BENEFIT OF 60/083,174 04/27/1998

Foreign Applications

If Required, Foreign Filing License Granted 08/09/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

Systems and methods for distributing targeted incentives to financial institution customers

Preliminary Class

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ON 10/30/01
252726

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
09/880,430	06/13/2001	3622	708	47423/252726	21	52	3

CONFIRMATION NO. 5403

CORRECTED FILING RECEIPT



OC000000012479342

23370
JOHN S. PRATT, ESQ
KILPATRICK STOCKTON, LLP
1100 PEACHTREE STREET
SUITE 2800
ATLANTA, GA 30309

Date Mailed: 04/29/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert Kenneth Pliha, McMinnville, TN;

Domestic Priority data as claimed by applicant

This application is a CIP of 09/296,997 04/22/1999 ABN
which claims benefit of 60/083,174 04/27/1998

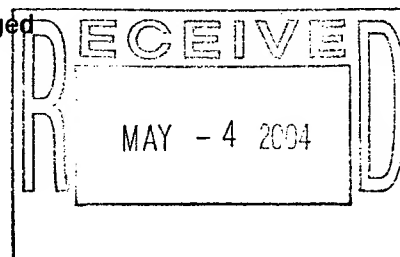
Foreign Applications

If Required, Foreign Filing License Granted: 08/09/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No



Title

System and Methods for Distributing Targeted Incentives to Financial Institution Customers.

KS Docketing

Docketed for: _____
Entered on: 5.4.04
Initials: rdt
Previously Entered: _____

Preliminary Class

705

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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CONFIRMATION NO. 5403

CORRECTED FILING RECEIPT



OC000000012479342

23370
JOHN S. PRATT, ESQ
KILPATRICK STOCKTON, LLP
1100 PEACHTREE STREET
SUITE 2800
ATLANTA, GA 30309

Date Mailed: 04/29/2004

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Applicant(s)

Robert Kenneth Pliha, McMinnville, TN;

Domestic Priority data as claimed by applicant

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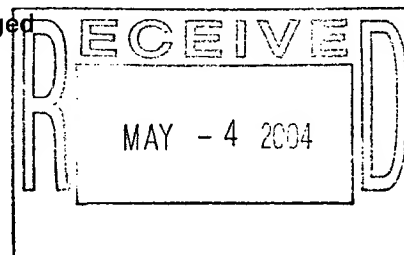
Foreign Applications

If Required, Foreign Filing License Granted: 08/09/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

**Title**

System and Methods for Distributing Targeted Incentives to Financial Institution Customers.

^
S

KS Docketing

Docketed for: _____
Entered on: 5.4.04
Initials: art
Previously Entered: _____

Preliminary Class

705

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